

ISO 37301 Lead Auditor Training Course



TRECERT ISO 37301 Lead Auditor is an expert-level course developed to equip trainees with a practical understanding of the Compliance Management System (CMS) auditing approach based on the ISO 37301 and ISO 19011 standards.

TARGET AUDIENCE

The ISO 37301 Lead Auditor training course is developed for individuals responsible for the audit and maintenance of a CMS, for example:

- Compliance Expert, Consultant, Manager, or Officer
- Internal or external auditor
- Legal professional with a focus on compliance
- Regulatory affairs professional

LEARNING OBJECTIVES

- ▶ Develop the skills necessary to effectively plan and conduct audits of compliance management systems (CMS) in accordance with the ISO 37301 standard and ISO 19011.
- ▶ Understand the process for identifying and addressing non-conformities during an audit.
- ▶ Learn how to communicate audit findings and report on the results of an audit.
- ▶ Understand the requirements for certification and the role of the lead auditor in the certification process.
- ▶ Understand the role of the lead auditor in managing the audit process, including risk assessment and stakeholder engagement.

COURSE MATERIAL FACTSHEET



Training Slides
400



Quiz Questions
20



Exercises
10

COURSE OUTLINE

1. Introduction to CMS

- Introduction to Compliance Management System
- CMS Overview
- Importance of CMS
- The Need for a CMS

2. ISO 37301 Requirements

- Context of the Organization
- Leadership
- Planning
- Support
- Operation
- Performance Evaluation
- Improvement

3. Introduction to Audit

- Auditing based on ISO 19011
- Types of Audit
- Audit Principles
- Auditor Behavior and Performance
- Auditor Roles and Responsibilities

4. Audit Programme Management

- Creating an Audit Programme
- Establishing Audit Programme
- Audit Programme Implementation
- Audit Programme Monitoring and Reviewing

5. The Audit Process

- Audit Initiation
- Audit Planning
- Audit Execution
- Reporting
- Follow-Up Auditing

EXAMINATION DETAILS



Nr. of questions

150



Type of exam

Multiple choice



Duration

180 minutes



Location

Online

COURSE DETAILS



Duration

Five (5) days



Language

English



CPE Credits

40



Training mode

Classroom and online

PATH TO RECOGNITION

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